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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO
09/315,796	05/20/1999	BILL L. DAVIS	111667-1000	6944
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GARDERE WYNNE SEWELL LLP			ZIMMERMAN, JOSHUA D	
INTELLECTUAL PROPERTY SECTION 3000 THANKSGIVING TOWER 1601 ELM ST			ART UNIT	PAPER NUMBER
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Please find below and/or attached an Office communication concerning this application or proceeding.

		Application No.	Applicant(s)		
Office Action Summary		09/315,796	DAVIS ET AL.		
		Examiner	Art Unit		
		Joshua D. Zimmerman	2854		
Period fo	The MAILING DATE of this communication app or Reply	ears on the cover sheet with the c	orrespondence address		
A SHO WHIC - Exter after - If NO - Failui Any r	ORTENED STATUTORY PERIOD FOR REPLY CHEVER IS LONGER, FROM THE MAILING DATE in a sions of time may be available under the provisions of 37 CFR 1.13 SIX (6) MONTHS from the mailing date of this communication. In period for reply is specified above, the maximum statutory period were to reply within the set or extended period for reply will, by statute, eply received by the Office later than three months after the mailing and patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICATION 36(a). In no event, however, may a reply be timulated and will expire SIX (6) MONTHS from cause the application to become ABANDONE	N. nely filed the mailing date of this communication. D (35 U.S.C. § 133).		
Status					
2a)⊠	Responsive to communication(s) filed on <u>30 Sec</u> This action is FINAL . 2b) This Since this application is in condition for allowar closed in accordance with the practice under E	action is non-final. nce except for formal matters, pro			
Dispositi	on of Claims				
5)⊠ 6)⊠ 7)□	Claim(s) <u>1-30,32-41,58,82-84,154,156,158 and</u> 4a) Of the above claim(s) is/are withdraw Claim(s) <u>1-5,12-14,39-41 and 82-84</u> is/are allow Claim(s) <u>6-11,15-30,32-38,58,154,156,158 and</u> Claim(s) is/are objected to. Claim(s) are subject to restriction and/or	vn from consideration. wed. <u>d 161-164</u> is/are rejected.	oplication.		
Applicati	on Papers				
10)	The specification is objected to by the Examine The drawing(s) filed on is/are: a) access Applicant may not request that any objection to the Replacement drawing sheet(s) including the correct The oath or declaration is objected to by the Example 1.	epted or b) objected to by the Idrawing(s) be held in abeyance. See ion is required if the drawing(s) is obj	e 37 CFR 1.85(a). jected to. See 37 CFR 1.121(d).		
Priority u	ınder 35 U.S.C. § 119				
 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of: 1. Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). * See the attached detailed Office action for a list of the certified copies not received. 					
Attachmen	t(s) e of References Cited (PTO-892)	4) ☐ Interview Summary	(PTO-413)		
2) Notic 3) Infor	e of Draftsperson's Patent Drawing Review (PTO-948) nation Disclosure Statement(s) (PTO-1449 or PTO/SB/08) r No(s)/Mail Date	Paper No(s)/Mail Da			

DETAILED ACTION

Claim Rejections - 35 USC § 102

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

Claims 10, 44 - 47, and 153 are rejected under 35 U.S.C. 102(e) as being anticipated by Hartung et al. (US 5,630,363).

With respect to claim 10, Hartung et al. teach a plurality of successive printing stations, one of said stations comprising a first flexographic printing station (16, column 6 lines 4 - 9), a downstream second flexographic printing station (16, column 6 lines 4 - 9), and at least one of the successive stations comprising an offset lithographic printing station (12 - 15). The offset station being "successive" is interpreted as being successive to both the first and second flexographic stations. The recitations of the flexographic station printing an "image" or 'the lithographic station printing "over" the image are merely an intended use of the apparatus and do not structurally limit the flexographic and lithographic stations. Furthermore, the flexographic and lithographic stations of Hartung et al. are capable of printing a first "image" and printing "over" the first image, respectively.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

- (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 2. Claims 6 9, 11, 15 18, 20 23, 25 28, 58, 161, and 162 are rejected under 35 U.S.C. 103(a) as being unpatentable over Hartung et al. in view of Bird (US 4,841,903).

With respect to claim 6, Hartung et al. teach a plurality of successive stations comprising a first flexographic printing station (16, see column 6 lines 4 - 9), a suspended metallic material (column 5 lines 2 - 10), and an offset lithographic station (12-15) downstream of the flexographic station. Hartung et al. do not teach the flexographic printing station being offset or a dryer disposed downstream of the flexographic station. Bird teaches an offset flexographic printing station (12, column 5 lines 13 - 18, 40 - 44, column 6 lines 6 - 20) and a dryer (25a, column 3 lines 12 - 25, column 5 lines 51 - 67) disposed downstream of the offset flexographic station. It would have been obvious to one of ordinary skill in the art to provide the apparatus of Hartung et al. with an offset flexographic printing station in view of Bird so as to more easily convert an offset lithographic station to an offset flexographic station and to dry the first volatile printing/coating before subsequent operations to eliminate smearing of the printing/coating.

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With respect to claims 7 and 8 it would have been obvious to one of ordinary skill in the art to provide the metallic particles of Hartung et al. (column 5 lines 2 -10)with uniform or nonuniform sizes to achieve the desired optical effect.

With respect to claims 9 and 11 note the plate cylinder (20.1), flexographic plate (column 3 lines 10 - 11), impression cylinder (18.1), and anilox roller (21) of Hartung et al. and the blanket cylinder (23a) of Bird.

With respect to claim 15 Hartung et al. teach a first flexographic station (56) having an impression cylinder (18.1), a first lithographic station (51), flexographic ink providing means (21, 32) at the first flexographic station, a second lithographic station (52-55), and a second flexographic station (57). Hartung et al. do not teach the first flexographic station comprising a blanket cylinder. Bird teaches a flexographic station (12) comprising a blanket cylinder (23a). It would have been obvious to one of ordinary skill in the art to provide the apparatus of Hartung et al. with an offset flexographic printing station in view of Bird so as to more easily convert an offset lithographic station to an offset flexographic station.

With respect to claim 16 note the plate cylinder (20.1), flexographic plate (column 4 lines 10 - 11), and anilox roller (21) of Hartung et al.

With respect to claim 17 Hartung et al. teach a first flexographic station (56, 16 - see column 6 lines 4 - 9) having a plate cylinder (20.1), an anilox roller (21), and an impression cylinder (18.1), a succeeding lithographic station (51-55, 11-15), and a second flexographic station (57, 17 - See column 6 lines 4 - 9). Although Hartung et al. do not specifically teach the flexographic plate having an "image," the broad recitation of

an image would not appear to distinguish from a spot coating plate as such a plate would have certain areas in relief compared to other areas. Moreover, Hartung et al. repeatedly disclose that the flexographic stations are "printing/lacquering units" implying that the stations could provide a lacquer or print "images" and that the units print with "pigmented inks" (column 2 lines 44 - 46) or metallic inks (column 5 lines 2 - 10). In view of these teachings, it would have been obvious to one of ordinary skill in the art to print images with the pigmented or metallic inks of Hartung et al. since uniform coatings of pigmented or metallic inks would be wasteful and unappealing. Hartung et al. do not teach the first flexographic station comprising a blanket cylinder. Bird teaches a flexographic station (12) comprising a blanket cylinder (23a). It would have been obvious to one of ordinary skill in the art to provide the apparatus of Hartung et al. with an offset flexographic printing station in view of Bird so as to more easily convert an offset lithographic station to an offset flexographic station.

With respect to claim 18 note the lithographic stations (11-15) for printing lithographic inks.

With respect to claim 20 Hartung et al. do not teach an air dryer. Bird teaches an air dryer (26a) adjacent the impression cylinder (24a). See column 5 lines 23 - 45 of Bird. It would have been obvious to one of ordinary skill in the art to provide the apparatus of Hartung et al. with an air drier in view of Bird so as to adequately dry the flexographic ink before subsequent printing.

With respect to claims 21 and 22 halftone plates are widely conventional in the art to provide the desired shading.

With respect to claim 23 see column 1 line 8 of Hartung et al.

With respect to claims 25 - 28 note the comments above with respect to claims 7 and 8.

With respect to claim 58 Hartung et al. teach a first flexographic station (56, 16) having a plate cylinder (21), a flexographic plate (column 4 lines 10 - 11), a flexographic ink supply and an anilox roller (21) and at least one subsequent lithographic station (11-15, 51-55). Hartung et al. do not teach the first flexographic station comprising a blanket cylinder. Bird teaches a flexographic station (12) comprising a blanket cylinder (23a). It would have been obvious to one of ordinary skill in the art to provide the apparatus of Hartung et al. with an offset flexographic printing station in view of Bird so as to more easily convert an offset lithographic station to an offset flexographic station.

With respect to claim 161, Hartung et al. teach a first flexographic station (56, 16) followed by a first lithographic station (12-15, 51-55). Hartung et al. do not teach a dryer disposed between the stations. Bird teaches a dryer (25+ column 3 lines 12 - 25, column 5 lines 51 - 67) disposed downstream of a flexographic station. It would have been obvious to one of ordinary skill in the art to provide the apparatus of Hartung et al. with a dryer in view of Bird so as to adequately dry the flexographic ink before subsequent printing.

With respect to claim 162 note the at least one further lithographic printing station (12-15, 52-55) of Hartung et al.

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3. Claim 19 is rejected under 35 U.S.C. 103(a) as being unpatentable over Hartung et al. in view of Bird as applied to the claims above, and further in view of Sharp (US 4,403,550).

Hartung et al. do not teach waterless inks. Sharp teaches the advantages of waterless lithographic inks. See column 2 line 66+ of Sharp. let would have been obvious to one of ordinary skill in the art to provide the apparatus of Hartung et al., as modified by Bird, with a waterless ink in view of Sharp so as to provide higher printing quality.

- 4. Claim 24 is rejected under 35 U.S.C. 103(a) as being unpatentable over Hartung et al. in view of Bird as applied to the claims above, and further in view of Schumacher et al. (US 5,079,044). Hartung et al. do not teach printing an encapsulated essence. Schumacher et al. teach printing an encapsulated essence. See column 1 lines 29 31 of Schumacher et al., for example. It would have been obvious to one of ordinary skill in the art to provide the apparatus of Hartung et al., as modified by Bird, with an encapsulated essence in view Schumacher so as to obtain a scratch-and-sniff printed substrate.
- 5. Claims 29, 33, 38, 156, and 157 are rejected under 35 U.S.C. 103(a) as being unpatentable over Hartung et al. in view of Pantone.

With respect to claims 29 and 38, Hartung et al. teach printing with a flexographic ink (column 2 lines 44 - 46, column 3 lines 9 - 12, column 5 lines 2 - 10) on a substrate at a first flexographic station (56 or 16, see column 6 lines 4- 9), transferring the substrate to a lithographic station (51 - 55 or 11 - 15), printing colored ink

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images at a subsequent lithographic printing station (51-55 or 12-15), and coating the substrate at a second flexographic station (17 or 57). Hartung et al. do not explicitly teach that the lithographic station prints on top of a flexographic image. Note that Hartung et al. repeatedly disclose that the flexographic stations are "printing/lacquering units" implying that the stations could provide a lacquer or print "images" and that the units print with "pigmented inks" (column 2 lines 44 - 46) or metallic inks (column 5 lines 2 - 10). Nevertheless, Pantone teaches that process (i.e. lithographic) color images should be printed both on top of and under metallic ink images to achieve the desired effect. See the entire document of Pantone. It would have been obvious to one of ordinary skill in the art to provide the method of Hartung et al. with the step of printing colored images on top of the metallic flexographic image in view of Pantone so as to provide the desired color and metallic effect to the image.

With respect to claim 33 conventional oleophilic lithographic inks are solvent based.

With respect to claim 156 Hartung et al. teach printing an image at a first lithographic station (11), transferring the substrate to a first flexographic station (16, see column 6 lines 4 - 9), printing an image at a second lithographic station (e.g. 12), and then transferring the substrate to a second flexographic station (16, see column 6 lines 4 - 9). Hartung et al. do not specifically teach printing an image at the first and second flexographic stations. Pantone teaches that process color images should be printed both on top of and under metallic ink images to achieve the desired effect. See the entire document of Pantone. It would have been obvious to one of ordinary skill in the

art to provide the method of Hartung et al. with the step of printing colored images on top of the metallic flexographic image in view of Pantone so as to provide the desired color and metallic effect to the image.

6. Claims 17, 18, 20 - 23, 25 - 28, and 30 are rejected under 35 U.S.C. 103(a) as being unpatentable over Hartung et al. in view of Pantone as applied to claims 29, 33, 38, 156 above, and further in view of Bird.

With respect to claims 17, 18, 20 - 23, and 25 - 28 note the comments above with respect to Hartung et al. and Bird. Pantone teaches that process color images should be printed both on top of and under metallic ink images to achieve the desired effect.

With respect to claim 30 Hartung et al. do not teach immediately drying the flexographic ink. Bird teaches drying flexographic inks after printing. Note the comments above with respect to Bird. It would have been obvious to one of have been obvious to one of ordinary skill in the art to provide the method of Hartung et al. with the step of printing a flexographic metallic in view of Pantone so as to provide the desired color and metallic effect to the image and with a drier in view of Bird so as to adequately dry the flexographic ink before subsequent printing.

Claim 32 is rejected under 35 U.S.C. 103(a) as being unpatentable over Hartung et al. in view of Pantone as applied to claims 29, 33, 38, 156 above, and further in view of Sharp. Hartung et al. do not teach using waterless lithographic ink.

Sharp teaches the advantages of waterless lithographic inks. See column 2 line 66+ of

Sharp. It would have been obvious to one of ordinary skill in the art to provide the

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method of Hartung et al., as modified by Pantone, with a waterless ink in view of Sharp so as to provide higher printing quality.

7. Claims 34 and 35 are rejected under 35 U.S.C. 103(a) as being unpatentable over Hartung et al. in view of Pantone as applied to claims 29, 22, 38, 156 above, and further in view of Schumacher et al. Hartung et al. do not teach printing an encapsulated essence. Schumacher et al. teach printing an encapsulated essence. See column 1 lines 29 - 31 of Schumacher et al., for example. It would have been obvious to one of ordinary skill in the art to provide the method of Hartung et al., as modified by Pantone, with an encapsulated essence in view Schumacher so as to obtain a scratch-and-sniff printed substrate.

With respect to claim 35 Hartung et al. teach an aqueous coating (17, 57).

- 8. Claims 36 is rejected under 35 U.S.C. 103(a) as being unpatentable over Hartung et al. in view of Pantone and Schumacher et al. as applied to claims 34 and 35 above, and further in view of Bird. Hartung et al. do not teach UV curing the coating. Bird teaches UV curing a coating. See column 6 lines 1 5 of Bird, for example. It would have been obvious to one of ordinary skill in the art to provide the method of Hartung et al., as modified by Pantone and Schumacher et 2., with the step of UV curing the coating in view of Bird so as to provide a faster curing and more durable coating.
- 9. Claim 37 is rejected under 35 U.S.C. 103(a) as being unpatentable over Hartung et al. in view of Pantone, Bird, and Sharp. Hartung et al. teach applying a flexographic ink at a first flexographic station (16), transferring the substrate to a second flexographic station (16, column 6 lines 4 9) and applying a second flexographic ink and printing an

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5,115,741).

ink pattern over the flexographic ink at a subsequent lithographic station (12-15). Hartung et al. do not specifically teach applying a flexographic pattern, with a blanket cylinder, or printing a waterless ink at the lithographic station. Pantone teaches that process color images should be printed both on top of and under metallic ink images to achieve the desired effect. See the entire document of Pantone. Bird teaches the desirability of providing a convertible flexographic station with a blanket cylinder (23a). Sharp teaches the advantages of waterless lithographic printing. See column 2 line 66+ of Sharp. It would have been obvious to one of ordinary skill in the art to provide the method of Hartung et al. with the steps of flexographic printing metallic ink images in view of Pantone so as to provide the desired color and metallic effect to the image, with a blanket cylinder in the flexographic station in view of Bird so as to more easily convert the lithographic stations to flexographic stations, and use waterless ink in view of Sharp to achieve higher quality images. It is noted that the step of transferring the substrate to a second flexographic station does not preclude the existence of a lithographic station between the first and second flexographic printing stations. 10. Claims 154, 160, 163, and 164 are rejected under 35 U.S.C. 103(a) as being unpatentable over Hartung et al. in view of Bird (US 4,939,992) and Rodi (US

With respect to claim 154 Hartung et al. teach a first flexographic station (56, 16 - see column 6 lines 4 - 9), a first lithographic station (12-15, 51-55), and a second flexographic station (57, 16 - see column 6 lines 4 - 9, 17). Hartung et al. do not teach

dryers disposed after each of the stations. Bird teaches dryers (25a, 16) disposed downstream of flexographic stations (12, 13). See the abstract of Bird, for example. Rodi teaches providing driers (22) after each lithographic station (3 - 6). It would have been obvious to one of ordinary skill in the art to provide the apparatus of Hartung et al. with driers after each of the flexographic and lithographic stations in view of Bird and Rodi so as prevent smearing of the printed images in subsequent printing stations.

With respect to claim 161 Hartung et al. teach a first lithographic station (11), a first flexographic station (16, column 6 lines 4 - 9), a second lithographic station (12), and a second flexographic station (16 or 17/57). Hartung et al. do not teach driers after each of the printing stations. Bird teaches providing driers (25, 16) after each flexographic station. Rodi teaches providing driers (22) after each lithographic (offset) station (3 2 6). It would have been obvious to one of ordinary skill in the art to provide the apparatus of Hartung et al. with driers after each of the flexographic and lithographic stations in view of Bird and Rodi so as prevent smearing of the printed images in subsequent printing stations.

With respect to claim 163 Hartung et al. do not teach a dryer disposed after the first lithographic station. Rodi teaches providing driers (22) after each lithographic (offset) station (3 - 6). It would have been obvious to one of ordinary skill in the art to provide the apparatus of Hartung et al., as modified by Bird with a dryer after the first lithographic station in view of Rodi so as prevent smearing of the printed images in subsequent printing stations.

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With respect to claim 164 note the second flexographic station (17, 57) of Hartung et al.

- 11. Claim 158 is rejected under 35 U.S.C. 103(a) as being unpatentable over Hartung et al. in view of Bird and Rodi as applied to the claims above, and further in view of Pantone. Hartung et al. teach printing at a first lithographic station (11), at a first flexographic station (16, column 6 lines 4 - 9), at a second lithographic station (12), and at a second flexographic station (16 or 17/57). Hartung et al. do not teach driers after the printing stations or printing an image at the flexographic stations. Bird teaches providing driers (25, 16) after each flexographic station. Rodi teaches providing driers (22) after each lithographic (offset) station (3 - 6). Pantone teaches that process color images should be printed both on top of and under metallic ink images to achieve the desired effect. See the entire document of Pantone. It would have been obvious to one of ordinary skill in the art to provide the apparatus of Hartung et al. with driers after each of the flexographic and lithographic stations in view of Bird and Rodi so as prevent smearing of the printed images in subsequent printing stations and flexographic printing. metallic ink images in view of Pantone so as to provide the desired color and metallic effect to the image.
- 12. Claims 1 5, 12 14, 39 41, 82 84 are allowed.
- 13. Claims 44-48, 153, 157, 159 and 160 have been canceled.

Response to Arguments

14. The declarations of John W. Bird dated December 11, 1999, Jesse Speight Williamson and Gary Doughty filed on September 26, 2000, Steve M. Garner filed on

April 7, 2000, and the Joint Declaration filed on July 7, 2000 under 37 CFR 1.131 have been considered but are ineffective to overcome the Hartung et al. reference.

The declarations submitted are insufficient to establish diligence form a date prior to the date of reduction to practice of the Hartung et al. reference to either a constructive reduction to practice or an actual reduction to practice. On pages 9 and 10 of the response filed 09/30/2005, applicants give an excuse for not reducing to practice, as required by MPEP 715.07. While financial hardship could be considered a valid excuse, concrete, factual evidence of a financial hardship is required. Applicants are encouraged to submit any factual documentation in order to show financial hardship during the period in question.

15. Applicant's arguments filed 09/30/2005 regarding the art rejections have been fully considered but they are not persuasive.

With respect to the apparatus claims, the recitations of the flexographic stations applying an "image" do not distinguish from the structure disclosed by Hartung et al. Since Hartung et al. disclose "flexographic stations", the broad recitation of a flexographic station *for* printing an image does not distinguish the claimed structure from the flexographic station of Hartung et al.

With respect to apparatus claim 17 and the method claims, Pantone clearly teach printing lithographic color and metallic images, each on top of the other. In view of the teachings of Hartung et al. to print metallic inks by flexography, it would have been obvious to one of ordinary skill in the art to utilize the flexographic stations of Hartung et al. to apply metallic images in combination with the lithographic color images.

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With respect to Bird and Rodi there is no unobviousness in drying flexographic or lithographic inks before subsequent printing stations. The motivation is self-evident and applicant has not overcome this prima facie case of obviousness. With respect to the sequence of stations, e.g. whether a lithographic of flexographic station is recited as the first station, the claims utilize open terminology which do not prohibit a different type of station preceding the first recited station.

Conclusion

16. Applicant is reminded of the continuing obligation under 37 CFR 1.178(b), to timely apprise the Office of any prior or concurrent proceeding in which Patent No. 5,630,363 is or was involved. These proceedings would include interferences, reissues, reexaminations, and litigation.

Applicant is further reminded of the continuing obligation under 37 CFR 1.56, to timely apprise the Office of any information which is material to patentability of the claims under consideration in this reissue application.

These obligations rest with each individual associated with the filing and prosecution of this application for reissue. See also MPEP §§ 1404, 1442.01 and 1442.04.

Applicant is reminded to file a Supplemental Reissue Oath/Declaration in accordance with 37 C.F.R. 1.175(b)(1) to state that every error not covered by a previous oath/declaration arose without deceptive intention on the part of the applicant. See M.P.E.P. 1414.01, 1444.

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Applicant is notified that any subsequent amendment to the claims must comply with 37 CFR 1. 173(c) by providing an explanation of the specific support in the disclosure of the patent for changes made to the claims. in particular, the sequence of the flexographic and lithographic stations and which stations provide images must be supported by the patent disclosure.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Joshua D. Zimmerman whose telephone number is 571-272-2749. The examiner can normally be reached on M-R 8:30A - 6:00P, Alternate Fridays 8:30A-5:00P.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Andrew Hirshfeld can be reached on 571-272-2168. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Joshua D Zimmerman

Examiner Art Unit 2854

jdz

ANDREW H. HIRSHFELD SUPERVISORY PATENT EXAMINER TECHNOLOGY CENTER 2800